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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/269845	
	Filing Date	9/24/1999	
	First Named Inventor	Marin Janusz et al.	
	Art Unit	1653	
	Examiner Name	Roy R. Teller	
Total Number of Pages in This Submission	11	Attorney Docket Number	AAT-11612

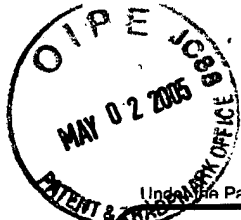
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Correction and a
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Patent Assignment Abstract of Title, proposed Certificate of Correction, and a copy of the Notice of Recordation	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Randolph E. Digges, III Rankin, Hill, Porter & Clark LLP
Signature	
Date	April 28, 2005

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Cheri Michel		
Signature		Date	4/28/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number	09/269,845
Filing Date	09/24/1999
First Named Inventor	Maria Janusz et al.
Examiner Name	Roy A. Teller
Art Unit	1654
Attorney Docket No.	AAT-11612

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 18-0160 Deposit Account Name: Rankin, Hill, Porter & Clark LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s), under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP =	x	=				
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Petition Under 37 C.F.R. 1.183

130

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	40,590	Telephone	216-566-9700
Name (Print/Type)	Randolph E. Digges, III	Date	04/28/2005		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

28 April 2005

Date of Deposit

Chen Michael

Signature of Person Mailing Paper

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/269,845
Pat. No. : US 6,852,700 B1
Cnfrm. No. : 1703
Applicants : Maria Janusz et al.
Filed : September 24, 1999
Issued: : February 8, 2005
Title : Colostrinin, and Uses Thereof

TC/A.U. : 1654
Examiner : Roy R. Teller

Docket No. : AAT-11612

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION UNDER 37 C.F.R. §1.183

Sir:

1. Applicants hereby request that 37 C.F.R. §3.81(a) be waived to permit the correct name of the assignees to be provided after issuance of the patent.

2. The facts giving rise to this request are as follows:

(a) The joint assignees of Application Serial No. 09/269,845, now U.S. Pat.

No. 6,852,700, have at all times been the Ludwik Hirszfeld Institute of Immunology and

Experimental Therapy Polish Academy of Sciences of Wroclaw, Poland and Georgiades

05/04/2005 AWONDAF1 00000028 180160 09269845

01 FC:1462 270.00 DA 130.00 DP

Biotech Limited of the Roadtown, Tortola, British Virgin Islands (underlined emphasis added).

(b) The correct names of the assignees were provided on the original Assignment document executed by the applicants on September 1, 1999, which document was recorded on September 24, 1999 at Reel/Frame 010709/0125.

(c) The correct names of the assignees appear on the Patent Assignment Abstract of Title available on the U.S. Patent Office's web site (copy attached).

(d) The correct assignees' names were not included on form PTOL-85. Specifically, the name of assignee, Georgiades Biotech Limited of the Roadtown, Tortola, British Virgin Islands, was inadvertently omitted. And, typographical errors were made in the name of assignee, Ludwik Hirszfeld Institute of Immunology and Experimental Therapy Polish Academy of Sciences of Wroclaw, Poland, ("Ludwik" was inadvertently mistyped as "Ludwig" and "Wroclaw" was inadvertently mistyped as "Warsaw").

(e) The failure to include the correct assignees' names on the PTOL-85 was inadvertent.

3. A copy of the Notice of Recordation of Assignment Document is attached hereto.

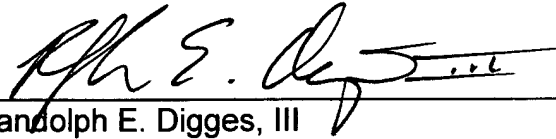
4. The petition fee of \$130.00 required by 37 C.F.R. §1.17(h) is enclosed. The Commissioner is authorized to debit Deposit Account 18-0160 for any additional fees that may be required.

5. The undersigned respectfully submits that the facts present in this matter establish the existence of an extraordinary circumstance such that justice so requires

that the requirements of 37 C.F.R. §3.81(a) be waived to permit the correct name of the assignee to be provided after issuance of the patent.

Respectfully submitted,

RANKIN, HILL, PORTER & CLARK LLP

A handwritten signature in black ink, appearing to read "R. E. Digges, III", is written over a horizontal line.

Randolph E. Digges, III
Reg. No. 40,590

925 Euclid Avenue
Suite 700
Cleveland, Ohio 44115-1405
(216) 566-9700



Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

***NOTE: Results display only for issued patents and published applications.
For pending or abandoned applications please consult USPTO staff.***

Total Assignments: 1

Patent #: 6852700 **Issue Dt:** 02/08/2005 **Application #:** 09269845 **Filing Dt:** 09/24/1999

Inventors: MARIN JANUSZ, JOZEF LISOWSKI, ANNA DUBOWSKA-INGLOT, MIECZYSLAW INGLOT

Title: COLOSTRININ, AND USES THEREOF

Assignment: 1

Reel/Frame: 010709/0125

Recorded: 09/24/1999

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: JANUSZ, MARIN

Exec Dt: 09/01/1999

LISOWSKI, JOZEF

Exec Dt: 09/01/1999

INGLOT, MIECZYSLAW, HEIR AND EXECUTOR FOR
ANNA DUBOWSKA INGLOT (DECEASED)

Exec Dt: 09/01/1999

Assignees: LUDWICK HIRSZFELD INSTITUTE OF IMMUNOLOGY AND EXPERIMENTAL THERAPY
POLISH ACADEMY OF SCIENCES OF WROCLAW, POLAND

RUDOLFA WEIGLA 12

WROCLAW, POLAND PL-53

GEORGIADES BIOTECH LIMITED

P.O. BOX 30152

PALM CHAMBER 3

ROADTOWN, TORTOLA, VIRGIN ISLANDS, BRITISH

Correspondent: RANKIN, HILL, PORTER & CLARK LLP

KENNETH A. CLARK

925 EUCLID AVENUE, SUITE 700

CLEVELAND, OHIO 44115

Search Results as of: 04/28/2005 09:31 AM

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 703-308-9723

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,852,700

DATED : February 8, 2005

INVENTOR(S) : Marin Janusz; Jozef Lisowski; Anna Dubowska-Inglot, deceased, by Mieczyslaw Inglot

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page, section "(73) Assignees:" should read as follows: Ludwik Hirszfeld Institute of Immunology and Experimental Therapy Polish Academy of Sciences, Wroclaw (PL); Georgiades Biotech Limited, Roadtown, Tortola (VG)

MAILING ADDRESS OF SENDER:

PATENT NO. 6,852,700

Randolph E. Digges, III
925 Euclid Avenue, Suite 700
Cleveland, OH 44115-1405

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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JUNE 20, 2000



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

PTAS

RANKIN, HILL, PORTER & CLARK LLP
KENNETH A. CLARK
925 EUCLID AVENUE, SUITE 700
CLEVELAND, OHIO 44115



101329990A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/24/1999

REEL/FRAME: 010709/0125
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JANUSZ, MARIN

DOC DATE: 09/01/1999

ASSIGNOR:
LISOWSKI, JOZEF

DOC DATE: 09/01/1999

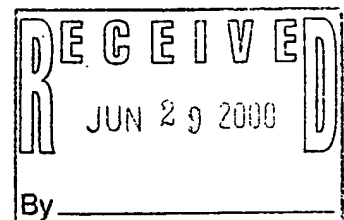
ASSIGNOR:
INGLOT, MIECZYSLAW, HEIR AND
EXECUTOR FOR ANNA DUBOWSKA
INGLOT (DECEASED)

DOC DATE: 09/01/1999

ASSIGNEE:
LUDWICK HIRSZFELD INSTITUTE OF
IMMUNOLOGY AND EXPERIMENTAL
THERAPY POLISH ACADEMY OF
SCIENCES OF WROCLAW, POLAND
RUDOLFA WEIGLA 12
WROCLAW, POLAND PL-53-114

DOCKETED

6-29-00



ASSIGNEE:

GEORGIADES BIOTECH LIMITED
PALM CHAMBER 3
P.O. BOX 30152
ROADTOWN, TORTOLA, BR.VIRGIN
ISLANDS

SERIAL NUMBER: 09269845
PATENT NUMBER:

FILING DATE: 09/24/1999
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

MAY 2 2005

04-24-2000

1 SHEET

Tab settings □ □ □

To the Honorable Commissioner of

101329990

attached original documents or copy thereof.

1. Name of conveying party(ies):

Marin Janusz, Jozef Lisowski and Mieczyslaw
Inglot, heir and executor for Anna Dubowska-
Inglot (deceased)Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

4-3-00

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 1 September 1999

2. Name and address of receiving party(ies)

Name: Ludwick Hirszfild Institute of
Immunology and Experimental Therapy

Internal Address: x

Polish Academy of Sciences of Wroclaw,

Poland

Street Address: Rudolfa Weigla 12

City: Wroclaw State: Poland ZIP: PL-53-114

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s): DOCUMENT ID NO.: 101228304

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/269,845

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Kenneth A. Clark

Internal Address: _____

RANKIN, HILL, PORTER & CLARK LLP
Street Address: _____

925 Euclid Avenue, Suite 700

City: Cleveland State: OH ZIP: 44115

6. Total number of applications and patents involved: -1-

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed (previously submitted)☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of
the original document.

Kenneth A. Clark

Name of Person Signing

Signature

Date

-3-

Total number of pages including cover sheet, attachments, and document:

Additional name and address of receiving party(ies) (Item 2)

Name: Georgiades Biotech Limited

Street Address: Palm Chamber 3
P.O. Box 30152
Roadtown, Tortola
British Virgin Islands